A Samuel, PMP
New Jersey

✉ *abe.samuel@verizon.net*
☎ **973-650-3400 C**

SUMMARY

A **PMI** certified **PMP (***Project Management Professional***)** and a Business Systems Professional with PMO, Program Management and Project Management expertise assisting leading Banking, Capital Markets and Investment Management firms in Project Management, Business Analysis, and Business Process re-engineering.

CORE COMPETENCIES

- Investment Management, Portfolio Management, Asset Management, Custody, Prime Broker, Risk Management and Trading.
- Strong PMO and Project Management expertise handling complex projects and governance structure including the project charter, project initiation documents (PID), program dashboards with key performance indicators (KPI) for project status tracking and management reporting.
- Managed project, program and portfolio metrics against baseline plans and benchmark metrics. Coordinated project financial data variance (budgets, actual, and forecast impact).
- Strong background in requirements gathering and documentation, scope facilitation and planning, work estimating, budgeting, scheduling, resource planning and allocation, risk identification and management, Earned Value Analysis, PERT, CPM, issue and change management.
- Extensive experience across all phases of the SDLC. Adept with business analysis, process analysis, logical data modeling, normalization and testing.
- Product expertise including Corporate and Muni Bonds, Mortgage Backed Securities, CMO's, ESOP, ESPP, Treasuries, Convertible Bonds, Medium Term Notes, Equities, Interest rate Swaps, Repos, Market Risk, Credit Risk, Options and Derivatives.
- Transaction processing capabilities for a broad range of financial products including Fixed Income, Equities and Derivatives, Liaised with Front, Middle and Back Office Operations for process analysis, trade capture,
- Group presentation and facilitating skills. Strong coaching, mentoring negotiating, team building and relationship management expertise.
- Proficient in Microsoft Office, Visio, MS Project, Excel, Power Point and have worked with Niku Clarity

PROFESSIONAL EXPERIENCE

2006 – Present
Advisory Company
For a large financial client

- Program managing a GAP analysis between two systems and including it on the 10 K filing. Align project goals with PMO objectives.
- Manage the entire Project Life cycle and SDLC, responsible for the governance of the program, creating a project plan including project initiation, KPI's, a delivery traceability matrix, Issue, Risk management and creating a WBS utilizing resources from multiple business units.

Global Finance Change Programme PMO:
Major Bank: Program Manager

- Spearheaded the creation of a program charter to implement a process re-engineering solution to the IFRS reporting.
- Defined business drivers/requirements, PID documentation which included scope, deliverables, project plans, a WBS in a matrixed environment utilizing resources over multiple global locations and Gantt charts. Chaired steering committee meetings and provided weekly status dashboards to management, teams and stakeholders for project updates, milestones, issue and risk resolution.

Single Sign-on Software implementation
Major Investment Bank, Project Manager

- Project managed and led the implementation of a single sign-on package for the investment bank. Working with the Executive Director, was a focal point between technology and infrastructure management teams in a matrixed environment distributed across regions.
- Managed Vendor selection and ROI analysis. Interfaced with the vendor, conferred with development managers in defining timelines, dependencies and creating a consolidated project baseline, with milestones and WBS schedules**.**
- Kept management apprised of the weekly progress**,** issues and risks. Implemented and executed the Ping Identity software on time and within schedule.

Project Portfolio, Program Management and PMO - Fixed Income, Equities, Futures clearing and settlement systems
Major Investment Bank, Consultant Senior Project Manager

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- For Senior Management created a portfolio-based management control dashboard prototype to determine Key Performance Indicators on a project portfolio of 30 programs with a combined annual budget of $175m, including status, deliverables, financial metrics, cross-project dependencies, number of unresolved issues on a program level, RAG indicators, hiring resource metrics including graphs, utilization and allocation by region.
- Liaised with business, operations, technology and finance in determining sources of data.
- Defined and presented the PMO Dashboard to management

2005 – 2006   Big 4, Senior Manager
Financial Re-Statement and SOX PMO
Program Management: SOX PMO.

- Major Financial Company had to restate their financial statements over several years. The Compliance testing initiative required mentoring and coaching Project Managers.
- Directed project planning, decomposing deliverables into WBS components, project tracking, status reports, project communication and management presentations.
- Conferred with the PMO director in issue resolution, business analysis, and validating deliverables with various work streams including project risk management and assisting the PMO in preparing overall status presentations to the executive management

2001 – 2005
Reputed University. Affiliate faculty Project Management;
Teaching the graduate degree program in Project Management

Client Work during Teaching:
Operational Risk, Implementing Basel II framework
Major Bank**:** Consultant., Senior Business Analyst for Basel II.

- Gathered requirements to determine Risk probability, Gap analysis, documented flows,. vendor evaluation and implementation plans.

Program Management, Merger Integration
Major Cable company: Project Consultant

- Major Company internet merged with AT & T. Project Managers at the company required training and coaching to complete the merger integration. Worked with the PMO in providing Project Management training, overall guidelines and templates to business and development managers in establishing a deployment plan. Working with the Executive Sponsor directed managers in creating a consolidated project baseline including a CPM Network diagram using Microsoft Project**.**

1998 – 2001   Big 4, Principal Consultant
Financial Business Process Re-engineering & Change Management:

For Major Bank**:** Project Manager

- Directed a team that analyzed and consolidated silo back office applications into an Oracle database, eliminated outdated processes and converted them into an internet solution Developed work schedules, data dictionaries, business, and functional requirements and test strategies. .

For a Broker dealer. Project Manager/ Business Analyst

- Proposed a solution in integrating the internet eight broker dealerships purchased in South America over two years. As a lead business analyst managed the technology architecture strategy, design and needs analysis of the clearing system. Assisted the Engagement Partner in project management, planning and formulated an RFP script to evaluate various banking and brokerage vendors.

Prime Brokerage Compliance Testing:
For a Major Bank: Project Manager/ Business Analyst

- Lead a team in the Y2K planning and managing of the entire user acceptance testing process for a prime broker system over multiple product areas, which included MBS, CMO's, Treasuries, Foreign Securities, and Repos.
- Organized the testing scope, processes and defined testing requirements.
- Oversaw user training and documentation efforts.
- Managed and supported the user acceptance testing effort, for the Y2K compliance including the design of various test script scenarios and analytics.
- Communicated and coordinated the testing rollout to the user community.

Program Structure and Strategic Planning
For a Major Bank: Project Manager/ Business Analyst

- Implemented a Project Program to manage the decimalization testing initiative process across various vendors, developed a consolidated test schedule. which required interfacing with various vendors to determine their testing schedule and strategy.

1996 – 1998   Major Market data Vendor, Senior Business Analyst
Financial Systems Transformation, Market Data and Swap Vendor integration:
- Responsible for the rapid development of a customer service web application integrated with a new web based financial trading infrastructure, to support the expanding needs of real time data distribution. Developed a strategic alliance/marketing plan and a (PRD) between the market data team and a SWAP analytic vendor.

1992 – 1996
Technology Sales and Marketing, Financial Services Channel
Software Vendor: Business Analysis
- Gathered requirements and created various prototype solutions to the Investment Management community using their proprietary CASE product for rapid application development.
- Strategized and developed custom prototypes for client presentations, which included screens designs, data models, elements and attributes.
- Interfaced with product management, development, systems and product support groups

Project Management, Fixed Income Software Development including Logical Data Modeling,
Software vendor – Project Manger/ Business Analyst
- Managed a team of professionals in the design of an international negotiable instrument trading system for Banking and Brokerage:
- Analyzed, designed, developed and implemented business processes.
- Extensively involved in the design of the Security Master, Instrument and Customer logical data models and screen designs, using PRO-IV, a CASE tool.
- Assisted sales and marketing in customer presentations.

Investment Management/Capital Market analysis, Vendor Evaluation/Selection and Implementation
International bank, Treasury area: Business Analyst
- Analyzed the front and back office requirements for the MBS and CMO trading desks.
- Evaluated required vendor systems to support trading needs and made recommendations
- Worked with Risk Management in monitoring credit risk limits

Investment Advisory and Asset Management firm that traded in equities, convertible bonds, and private placements; – Project Manger/ Business Analyst
- Managed the implementation of Portia from a portfolio trading and accounting perspective.
- Converted data from Paradox and Dbase file structures to Portia.
- Designed concise analytical M.I.S reports.
- Assisted in the modeling of various hedge and option strategies i.e. Spread, Straddle, Straps

1987 – 1992   Major Bank, Systems Analyst
Corporate Trust Securities and Trust Information Systems
Financial Systems Transformation, Custody, Process Re-engineering, Construction and Implementation
- Managed the entire project life cycle.
- Analyzed the business and system requirements of a Bond system that supported Registered , Muni and Treasury Bonds.
- Developed the conceptual process and logical data models for the application and assisted in the development of a prototype and preliminary system architecture.
- Developed strategic plans for testing, conversion, training and transition.
- Led effort in scheduling of project resources and interviews with business units, making presentations to client teams and steering committee members.

EDUCATION

One year CTA program coursework in "Applications Design", **Columbia University**
Masters in Finance and Investment Management, Pace University
B.A in Economics with Mathematics and Statistics, Loyola College, Madras, India

Professional Memberships:
Affiliate Member of the Global Association of Risk Professionals (GARP)
Member of the Project Management Institute,
*This is a draft Resume not to be sent, forwarded or distributed

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Member of the Project Management Institute- NJ Chapter
Member of the **(FSSIG)** Financial Services Special Interest